

Employee Benefit Plan Audit Services

complex
requirements

customized
service

deep
expertise

client
commitment

contact
us

Understanding the rules and regulations around 401(k) plan operations, proper plan governance and the audit and tax requirements can be complex. Fundamentally, an Employee Benefit Plan requires an audit when it has more than 100 eligible participants. However, plan sponsors and administrators are responsible for a growing number of constantly-changing compliance matters, regardless of the number of participants or plan size.

Every plan is different. We design a customized approach for each and every one of our clients based on a deep understanding of your plan operations. This means considering all your compliance needs, fiduciary responsibilities and potential non-compliance implications in the context of your plan. Our customized approach allows us to not only satisfy your compliance needs, but also to provide valuable feedback on plan operations, including opportunities for enhancing internal controls, streamlining processes, potentially reducing fees and improving investment options available to participants.

Our expertise spans Employee Benefit Plans with as little as 100 participants and \$1 million in plan assets to plans with over 3,000 participants and \$1 billion in plan assets. We bring the same level of service to all our clients, regardless of plan size. As a member of the AICPA's Employee Benefit Plan Quality Center, and a dedicated practice team specializing in Employee Benefit Plans, we not only help you stay ahead of ever-changing ERISA, DOL and IRS requirements, but help position management to provide a quality retirement plan for your employees.

For almost 70 years, we've cultivated deep relationships with our clients. We were serving Silicon Valley before it was Silicon Valley. From our offices in Palo Alto, San Francisco and San Jose, our enduring relationships—many spanning multiple decades—are the result of our ongoing commitment to providing our clients superior service.

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